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| T | 'n Z | NSMITTAL LETTER | TO THE UNITED STATES | ATTORNEY'S DOCKET NUMBER | | | | | | | | | | |
|---|---|---|---|------------------------------|--|--|--|--|--|--|--|--|--|--|
| 1 | | DESIGNATED/ELECTE | MERCK-3020 | | | | | | | | | | | |
| | | | U.S. APPLICATION NO. (If known_see 37 CFR 1.5) | | | | | | | | | | | |
| | - | | G UNDER 35 U.S.C. 371 | 10/550057 | | | | | | | | | | |
| INTI | ERN | | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | | | |
| | | | November 17, 2003 | December 10, 2002 | | | | | | | | | | |
| 1 | TITLE OF INVENTION | | | | | | | | | | | | | |
| INDOL DERIVATIVES AND THEIR USE AS 5-HT LIGANDS | | | | | | | | | | | | | | |
| | APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | | | |
| Guenter HOELZEMANN et al. | | | | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | | | |
| 1. | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | | |
| 4. | \mathbf{M} | | | | | | | | | | | | | |
| 5. | \boxtimes | A copy of the International Applicati | | | | | | | | | | | | |
| | al Bureau). | | | | | | | | | | | | | |
| | | b. 🛮 has been communicated by | | | | | | | | | | | | |
| | | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | | |
| | | <u></u> | | | | | | | | | | | | |
| | | a. is attached hereto. | | | | | | | | | | | | |
| | | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | | | |
| 7. | \boxtimes | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | | |
| • | | b. have been communicated by the International Bureau. | | | | | | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | | | |
| | | d. An have not been made and will not be made. | | | | | | | | | | | | |
| 8. | | An English language translation of the | e amendments to the claims under PCT Artic | le 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor | | · | | | | | | | | | | |
| 10. | | English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | | | |
| | | Items 11 to 20 below concern documents | ment(s) or information included: | | | | | | | | | | | |
| 11. | \boxtimes | An Information Disclosure Statement | under 37 C.F.R. 1.97 and 1.98. | | | | | | | | | | | |
| 12. | \boxtimes | An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. | | | | | | | | | | | | |
| 13. | \boxtimes | A preliminary amendment. | | | | | | | | | | | | |
| 14. | | An Application Data Sheet under 37 C.F.R. 1.76. | | | | | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. | | | | | | | | | | | | |
| 18. | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | | | |
| 19. | \Box | A second copy of the English language | ge translation of the international application | under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 20. | | Other items or information:. | | | | | | | | | | | | |

JC09 Rec'd PCT/PTO 10 JUN 2005

| U.S. APPLICATI | ON NO. (ii | | | | INTERNATIONAL APPLICA | TION NO. | ATTORNEY'S DOC | KET NUMBER | | | |
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| | • | ational f | oeen submitte | d | | \$300 | CALCULATIONS | PIO USE ONLY | | | |
| 21. | Basic II | ational i | | | | 3300 | \$300 | | | | |
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| PCT Article 33(| - | | | • | | \$100 | | | | | |
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| 23. | Search | \$500 | | | | | | | | | |
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| Applic | ant claims | small er | ntity status. S | See 37 | 7 CFR 1.27. Fees above are red | uced by ½. | | | | | |
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| Fee for recording | g the enclos | sed assig | enment (37 C | FR 1 | .21(h)). The assignment must b | | \$ | | | | |
| an appropriate co | over sheet (| (37 CFR | 3.28, 3.31). | \$40. | 00 per property | + | | | | | |
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| a. 🛛 | A checl | k in the | amount of \$1 | 000.0 | 00 to cover the above fees is end | losed. | | <u></u> | | | |
| b. 🗆 | | | | | | | | | | | |
| с. 🛛 | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3402. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| d. 🗆 | | | | | | | | | | | |
| | an approp | priate ti | me limit und | er 37 | 7 CFR 1.495 has not been met, on to pending status. | | | | | | |
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| SEND ALL CO | RRESPON | | | | | | | | | | |
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| Filed: June 10,2 | 2005 | = | | | | | | | | | |